

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David R. Dodds et al.

Filed: Herewith

Docket: 2003P54372US

Title: MODE INDICATOR FOR TRANSCEIVER MODULE

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir/Madam:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached form 1449 be considered by the Examiner and made of record. The cited references are enclosed for the Examiner's review. Pursuant to the provisions of M.P.E.P. 609, Applicants further request a copy of the 1449 form, marked as being considered and initialled by the Examiner, be returned with the next Official Communication.

Since this Information Disclosure Statement is being submitted within three months of filing national application; or date of entry of national application; or before the mailing date of the first Office Action on the merits, a fee has not been enclosed. However, if such fee is required, the Patent Office is hereby authorized to charge Deposit Account No. 500471 for fees as set forth under 37 C.F.R. 1.17(p).

Information Disclosure Statement

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Applicants respectfully request consideration of these references during prosecution of the above-identified matter. The Examiner is invited to contact the Applicants' representative at the below-listed telephone number if there are any questions regarding this Communication or the tendered references.

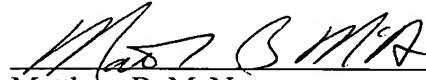
Respectfully submitted,

David R. Dobbs et al.,

By their attorneys,

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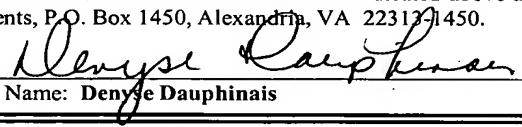
Dated: JAN 16, 2004
MBM:dmd


Matthew B. McNutt
Reg. No. 39,766

CERTIFICATE UNDER 37 C.F.R. 1.10:

"Express Mail" mailing label number: **ER314293829US**
Date of Deposit: **January 16, 2004**

The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By 
Name: **Denyse Dauphinais**

FORM PTO-1449		Docket No.: 2003P54372US/331.127.101	Serial No.:
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		Applicant: David R. Dodds et al.	
		Filing Date: Herewith	Group Art:

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	5,901,263	05/04/1999	Gaio et al.	385	92	
AB	6,335,869	01/01/2002	Branch et al.	361	816	
AC	6,439,918	08/27/2002	Togami et al.	439	372	
AD	6,430,053	08/06/2002	Peterson et al.	361	728	
AE	6,494,623	12/17/2002	Ahrens et al.	385	76	
AF	6,517,382	02/11/2003	Flickinger et al.	439	607	
AG	6,533,470	03/18/2003	Michael E. Ahrens	385	88	
AH	6,533,603	03/18/2003	Chris Togami	439	372	
AI	6,561,854	05/13/2003	Stockhaus et al.	439	701	
AJ	6,570,768	05/27/2003	Raul Medina	361	747	
AK	6,612,858	09/02/2003	Andreas Stockhaus	439	352	
AL	2002/0150344	10/17/2002	Chiu et al.	385	53	

FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Sub Class	Translated Yes No
AM		WO01/52362 A1	07/19/2001	PCT	H10r	13/658	Yes
AN							Yes
AO							Yes

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AP	Agilent Technologies et al., "Small Form-factor Pluggable (SFP) Transceiver Multisource Agreement (MSA)" "Cooperation Agreement for Small Form-Factor Pluggable Transceivers" p. 1-38, September 14, 2000.
AQ	
AR	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.